

Example of Singular Reduction in Symplectic Diffeology

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Geometry and Topology Seminar

17 September 2020 — Shantou University, China

Ref. <http://math.huji.ac.il/~piz/documents/EOSRISD.diapos.pdf>

Symplectic reduction is a standard procedure in Symplectic geometry with application in Classical Mechanics:

- Reduction of Cartan-Souriau presymplectic manifold
- Reduction of an invariant level of moment map

These constructions are defined on **symplectic** or **presymplectic** manifolds (or submanifolds). They are well documented and applied when the reduction is regular, that is, does not involve singularities such that the reduced space is itself a manifold.

- **Symplectic Mechanics**

“*Structure des Systèmes Dynamiques*” J.-M. Souriau,
Dunod Editor (1969) Paris.

- **Symplectic Reduction**

“*Reduction of Symplectic Manifolds with Symmetry*”
J. Marsden and A. Weinstein, Rep. Math. Phys. 5 (1974),
pp. 121130.

The Challenge

Among unusual situations for symplectic reduction, two cases appears frequently in mathematics or in physics:

- The symplectic space is **infinite dimensional**, for example a sphere S^∞ in an infinite dimensional Hilbert space.
- The reduction has **singularities**, for example some orbits are non closed lines and other are circles.

We shall show how these questions are solved, in the framework of **diffeology**, in the particular example of the construction of an infinite dimensional **quasiprojective space**, that mixes the two situations.

- **Original Paper: The Axiomatic**
“*Groupes différentiels*”. Jean-Marie Souriau. Lecture notes in mathematics, vol. 836 (1980) pp.91128.
- **The Recent Textbook**
“*Diffeology*”. Patrick Iglesias-Zemmour. Mathematical Surveys and Monographs vol. 185 (2013), Am. Math. Soc.

What is a Diffeology

A diffeology is a smooth structure defined by means of parametrizations:

- A **parametrization** in a set X is any map $P: U \rightarrow X$, defined on some open subset of some Euclidean space \mathbf{R}^n .

A **diffeology** on a set X is defined as a set \mathcal{D} of parametrizations, called **plots**, that satisfies three axioms:

- **Covering** The set \mathcal{D} contains the constant parametrizations.
- **Locality** A parametrization which belongs locally to \mathcal{D} belongs globally to \mathcal{D} .
- **Smooth Compatibility** The composite of any element of \mathcal{D} by a smooth parametrization of its domain belongs to \mathcal{D} .

A **diffeological space** is a set X equipped with a diffeology \mathcal{D} .

Category {Diffeology}

Diffeological spaces are the objects of the category {Diffeology}, whose morphisms are the smooth maps:

- A **smooth map** from a diffeological space X to another X' , is any map $f: X \rightarrow X'$ such that $f \circ P \in \mathcal{D}'$ for all $P \in \mathcal{D}$.

Smooth maps are denoted by $\mathcal{C}^\infty(X, X')$.

The isomorphisms are called **diffeomorphisms**, they are bijective smooth maps as well as their inverse.

Category {Diffeology} is stable by any set theoretic operation:

- **Sum** $X = \coprod_i X_i$.
- **Product** $X = \prod_i X_i$.
- **Subset** $X \subset X'$.
- **Quotient** $X = X'/\sim$.

Quotient Spaces

A striking and important construction is the **quotient diffeology**, for any kind of partition:

Let \sim be any equivalence relation on a diffeological space X , that is, a partition of X . We can push forward the diffeology of X onto the quotient set $Q = X/\sim$, by the natural projection class: $X \rightarrow Q$.

A plot of this **quotient diffeology** is any parametrization $P: U \rightarrow Q$ such that everywhere,

$$P = \underset{\text{loc}}{\text{class}} \circ R,$$

where R is some plot of X and the suffix *loc* means that R is required only locally.

A **differential k-form** α on a diffeological space X is defined by its pullback on the plots. Precisely, For every plot $P: U \rightarrow X$,

$$\alpha(P) \in \Omega^k(U)$$

and for all smooth parametrization $F: V \rightarrow U$,

$$\alpha(P \circ F) = F^*(\alpha(P)).$$

The form α is just the mapping

$$\alpha: P \mapsto \alpha(P).$$

Exterior Derivative

The **exterior derivative** of a k -form is given by:

$$d\alpha(P) = d[\alpha(P)],$$

With

$$d: \Omega^k(X) \rightarrow \Omega^{k+1}(X) \quad \text{and} \quad d \circ d = 0.$$

That defines a **De Rham Complex** for every diffeological space.

$$H_{\text{DR}}^k(X) = \ker[d: \Omega^k(X) \rightarrow \Omega^{k+1}(X)] / d[\Omega^{k-1}(X)].$$

Let X and X' be two diffeological spaces. Let

$$f: X \rightarrow X'$$

be a smooth map and $\alpha' \in \Omega^k(X')$.

The **pullback** $\alpha = f^*(\alpha')$ is defined by

$$\alpha(P) = \alpha'(f \circ P), \quad \alpha \in \Omega^k(X)$$

Let X be a diffeological space and

$$\pi: X \rightarrow Q$$

be a projection onto a quotient, and let $\alpha \in \Omega^k(X)$.

CRITERION There exists $\beta \in \Omega^k(Q)$ such that $\alpha = \pi^*(\beta)$ if and only if, for all plots P and P' in X ,

$$\pi \circ P = \pi \circ P' \quad \Rightarrow \quad \alpha(P) = \alpha(P').$$

Functional Diffeology on Complex Periodic Functions

Let X and X' be two diffeological spaces, $\mathcal{C}^\infty(X, X')$ carries a natural diffeology called the **functionnal diffeology**. The plots are the parametrizations $r \mapsto f_r$, defined on some Euclidean domain U such that

$$[(r, \mathbf{x}) \mapsto f_r(\mathbf{x})] \in \mathcal{C}^\infty(U \times X, X').$$

That diffeology makes the category **Cartesian closed**.

The space we will consider in the following is the space of complex periodic functions

$$\mathcal{C}_{\text{per}}^\infty(\mathbf{R}, \mathbf{C}) = \{f \in \mathcal{C}^\infty(\mathbf{R}, \mathbf{C}) \mid f(\mathbf{x} + 1) = f(\mathbf{x})\},$$

equipped with the functional diffeology.

First, Fourier Transform

For all f in $C_{\text{per}}^{\infty}(\mathbf{R}, \mathbf{C})$, we associate the sequence of its **Fourier coefficients** $(f_n)_{n \in \mathbf{Z}}$

$$f_n = \int_0^1 f(x) e^{-2i\pi n x} dx, \quad \forall n \in \mathbf{Z}.$$

The image of $f \mapsto (f_n)_{n \in \mathbf{Z}}$ is the vector space \mathcal{E} of **rapidly decreasing** infinite complex series

$$\mathcal{E} = \left\{ (f_n)_{n \in \mathbf{Z}} \mid f_n \in \mathbf{C} \ \& \ \forall p \in \mathbf{N}, \ n^p f_n \xrightarrow{|n| \rightarrow \infty} 0 \right\}.$$

We push the functional diffeology on $C_{\text{per}}^{\infty}(\mathbf{R}, \mathbf{C})$ to \mathcal{E} . A plot $r \mapsto f_r$ will give a plot of \mathcal{E}

$$r \mapsto (f_n(r))_{n \in \mathbf{Z}} \quad \text{with} \quad f_n(r) = \int_0^1 f_r(x) e^{-2i\pi n x} dx, \quad \forall n \in \mathbf{Z}.$$

Functional Diffeology on Fourier Coefficients – I

How to recognize a family $(f_n(r))_{n \in \mathbf{Z}}$ of smooth parametrizations in \mathbf{C} coming from $C_{\text{per}}^\infty(\mathbf{R}, \mathbf{C})$?

THEOREM. A parametrizations $P : r \mapsto (f_n(r))_{n \in \mathbf{Z}}$ in \mathcal{E} is a plot, for the pushforward of the functional diffeology on $C_{\text{per}}^\infty(\mathbf{R}, \mathbf{C})$, if and only if:

1. The functions $f_n : \text{dom}(P) \rightarrow \mathbf{C}$ are smooth.
2. For all closed ball $\overline{\mathcal{B}} \subset \text{dom}(P)$, for every $k \in \mathbf{N}$, for all $p \in \mathbf{N}$, there exists a positive number $M_{k,p}$ such that, for all integer $n \neq 0$,

$$\left| \frac{\partial^k f_n(r)}{\partial r^k} \right| \leq \frac{M_{k,p}}{|n|^p} \quad \text{for all } r \in \mathcal{B}. \quad (\diamond)$$

REMARK 1. In other words, the parametrization $r \mapsto (f_n(r))_{n \in \mathbf{Z}}$ is a plot of this diffeology if the functions f_n are smooth and their derivatives are uniformly rapidly decreasing:

$$n^p \frac{\partial^k f_n(r)}{\partial r^k} \xrightarrow{|n| \rightarrow \infty} 0, \quad \text{for all } p \in \mathbf{N}.$$

REMARK 2. By compactness, it is enough that, for every point $r_0 \in \text{dom}(P)$, there exists a ball \mathcal{B}' centered at r_0 such that (\diamond) holds to ensure that (\diamond) holds on every closed ball $\overline{\mathcal{B}} \subset \text{dom}(P)$.

REMARK 3. This is a nice example of a non conventional diffeology when we forget where it comes from.

The Infinite Torus – I

Let T^∞ be the group of infinite sequences of unit number:

$$T^\infty = \prod_{n \in \mathbf{Z}} U(1),$$

acting \mathbf{C} -linearly on \mathcal{E} by

$$(z_n)_{n \in \mathbf{Z}} \cdot (Z_n)_{n \in \mathbf{Z}} = (z_n Z_n)_{n \in \mathbf{Z}}.$$

A rapidly decreasing complex sequence is obviously transformed into another.

Every element $z = (z_n)_{n \in \mathbf{Z}} \in T^\infty$ is invertible,

$$(z_n)_{n \in \mathbf{Z}}^{-1} = (\bar{z}_n)_{n \in \mathbf{Z}}, \quad \bar{z} = z^*.$$

Action of The Infinite Torus

For every plot $r \mapsto (Z_n(r))_{n \in \mathbb{Z}}$ in \mathcal{E} , for all $p \in \mathbb{N}$,

$$\left| \frac{\partial^k z_n Z_n(r)}{\partial r^k} \right| = \left| z_n \frac{\partial^k Z_n(r)}{\partial r^k} \right| = \left| \frac{\partial^k Z_n(r)}{\partial r^k} \right|.$$

Then:

PROPOSITION. The action of $(z_n)_{n \in \mathbb{Z}}$ on \mathcal{E} is smooth as well as its inverse, $(z_n)_{n \in \mathbb{Z}}$ acts on \mathcal{E} by diffeomorphism. We got a monomorphism

$$\eta : T^\infty \rightarrow GL^\infty(\mathcal{E}) = GL(\mathcal{E}) \cap \text{Diff}(\mathcal{E}).$$

Diffeology of The Infinite Torus

DEFINITION. A **tempered parametrization** in T^∞ is a parametrization

$$\zeta : r \mapsto (z_n(r))_{n \in \mathbf{Z}}$$

that satisfies:

- The z_n are smooth and if for every $k \in \mathbf{N}$.
- For every r_0 in $\text{dom}(\zeta)$, there exist a closed ball $\overline{\mathcal{B}} \subset \text{dom}(\zeta)$ centered at r_0 , a polynomial P_k and an integer N such that:

$$\forall r \in \mathcal{B}, \forall n > N, \quad \left| \frac{\partial^k z_n(r)}{\partial r^k} \right| \leq P_k(n).$$

PROPOSITION. The tempered parametrizations form a group diffeology on T^∞ .

Smooth Action of The Infinite Torus

PROPOSITION. Equipped with the tempered diffeology, the action of the group T^∞ on \mathcal{E} is smooth. That is, the monomorphism $\eta : T^\infty \rightarrow GL^\infty(\mathcal{E})$ is smooth.

Next, for all $N \in \mathbf{N}$, let $\iota_N : T^N \rightarrow T^\infty$ be defined as follows:

$$\iota_N(z_n)_{n=1}^N = Z \quad \text{with} \quad \begin{cases} Z_n = z_n & \text{if } n \in \{1, \dots, N\}, \\ Z_n = 1 & \text{otherwise.} \end{cases}$$

PROPOSITION. The smooth injection ι_N is a diffeomorphism from T^N onto its image equipped with the subset diffeology. That is, an **induction**.

Induced Solenoids

Consider a sequence $\alpha = (\alpha_n)_{n \in \mathbf{Z}}$ of positive numbers, independent over \mathbf{Q} . That is,

$$\sum_{n \in \mathbf{Z}} q_n \alpha_n = 0 \quad \Rightarrow \quad q_n = 0,$$

for all finitely supported sequence of rational numbers $q_n \in \mathbf{Q}$.

In the following we will consider such sequences with $|\alpha_n| \leq 1$.

Then, the map

$$\iota : \mathbf{R} \mapsto T^\infty, \quad \text{defined by} \quad \iota(t) = \left(e^{2i\pi\alpha_n t} \right)_{n \in \mathbf{Z}},$$

which is obviously injective, is an induction, that is, a diffeomorphism onto its image equipped with the subset diffeology. We call the image $\iota(\mathbf{R}) \subset T^\infty$, an **irrational solenoid**.

Symplectic Structure on $C_{\text{per}}^{\infty}(\mathbf{R}, \mathbf{C})$

Let Surf be the standard symplectic form on \mathbf{C} :

$$\text{Surf}_z(\delta z, \delta' z) = \frac{1}{2i} [\delta \bar{z} \delta' z - \delta' \bar{z} \delta z] \quad \forall z, \delta z, \delta' z \in \mathbf{C}.$$

The **evaluation map**: for all $x \in \mathbf{R}$, let

$$\hat{x} : C_{\text{per}}^{\infty}(\mathbf{R}, \mathbf{C}) \rightarrow \mathbf{C} \quad \text{with} \quad \hat{x}(f) = f(x), \quad \forall x \in \mathbf{R}.$$

Because \hat{x} is smooth, the mean value of the pullback $\hat{x}^*(\text{Surf})$ is a 2-form on $C_{\text{per}}^{\infty}(\mathbf{R}, \mathbf{C})$, and closed.

$$\omega = \frac{1}{\pi} \int_0^1 \hat{x}^*(\text{Surf}) \, dx \quad \text{with} \quad \begin{cases} \omega \in \Omega^2(C_{\text{per}}^{\infty}(\mathbf{R}, \mathbf{C})) \\ d\omega = 0. \end{cases}$$

The Form ω by Plots

Let $P : r \mapsto f_r$ be a plot in $C_{\text{per}}^\infty(\mathbf{R}, \mathbf{C})$,

$$\begin{aligned} \omega(P)_r(\delta r, \delta' r) &= \frac{1}{2i\pi} \int_0^1 \left\{ \frac{\partial \overline{f_r(x)}}{\partial r}(\delta r) \frac{\partial f_r(x)}{\partial r}(\delta' r) \right. \\ &\quad \left. - \frac{\partial \overline{f_r(x)}}{\partial r}(\delta' r) \frac{\partial f_r(x)}{\partial r}(\delta r) \right\} dx. \end{aligned}$$

The Form ω is Indeed Symplectic

The closed 2-form ω is invariant by translation $\text{Tr}_g : f \mapsto f + g$, and $C_{\text{per}}^\infty(\mathbf{R}, \mathbf{C})$ is an homogeneous space of itself. The **moment map** of this action is given, up to a constant, by:

$$\mu(f) = \frac{1}{2i\pi} d \left[g \mapsto \int_0^1 \bar{f}g - \bar{g}f \right].$$

PROPOSITION. $C_{\text{per}}^\infty(\mathbf{R}, \mathbf{C})$ acts transitively on itself by translation, preserving ω , and the moment map μ is injective. Thus it is a **diffeological symplectic space**.

NOTE This is the definition given in the **Introduction** of “*Example of singular reduction in symplectic diffeology*” (P.I-Z). Proc. Amer. Math. Soc., 144(2):1309–1324 (2016).

A Word on Moment Map

When we have a closed 2-form ω on a diffeological space X , invariant by a group G , there is a **moment map**

$$\mu: X \rightarrow \mathcal{G}^*/\Gamma,$$

where \mathcal{G}^* is the **space of momenta**, that is, the spaces of **left-invariant 1-forms on G** , and Γ some representation of $\pi_1(X)$.

In the simplest case where $\omega = d\alpha$ and α is itself invariant:

$$\mu(x) = \hat{x}^*(\alpha) \in \mathcal{G}^*.$$

The case **non invariant-exact** is treated involving some diffeological constructions on the space $\text{Paths}(X)$.

- “*The Moment Map in Diffeology*”, P.I-Z. Memoir of the American Mathematical Society (2010) no.970, USA.

The Moment Map of T^∞

The Moment Map μ of the (Hamiltonian and exact) action of T^∞ on \mathcal{E} :

$$\mu(Z) = \frac{1}{2i\pi} \sum_{n \in \mathbb{Z}} |Z_n|^2 \pi_n^*(\theta) + \sigma,$$

- $\pi_n : T^\infty \rightarrow U(1)$ is the n -th projection $\pi_n(Z) = Z_n$,
- θ is the canonical invariant 1-form on $U(1)$,
- σ is some constant momentum of T^∞ (a constant invariant 1-form).

The Moment Map of the Solenoid

Let $(\alpha_n)_{n \in \mathbf{Z}}$ be a sequence of positive numbers, independent over \mathbf{Q} . Let

$$\underline{t}(Z_n)_{n \in \mathbf{Z}} = (e^{2i\pi\alpha_n t} Z_n)_{n \in \mathbf{Z}}$$

be the induced action of \mathbf{R} on \mathcal{E} . We call α -solenoid the subgroup

$$\mathcal{S}_\alpha = \left\{ (e^{2i\pi\alpha_n t})_{n \in \mathbf{Z}} \right\}_{t \in \mathbf{R}} \subset \mathbf{T}^\infty.$$

Its moment map is given by reduction of μ :

$$\nu(Z) = \mathfrak{h}(Z) dt \quad \text{with} \quad \mathfrak{h}(Z) = \sum_{n \in \mathbf{Z}} \alpha_n |Z_n|^2 + \mathfrak{c},$$

where \mathfrak{c} is some constant. The function \mathfrak{h} is called **Hamiltonian**.

The Infinite Sphere and the Solenoid

Let S_α^∞ be the unit level of the Hamiltonian \mathfrak{h} , for $c = 0$.

$$S_\alpha^\infty = \left\{ Z = (Z_n)_{n \in \mathbb{Z}} \in \mathcal{E} \mid \sum_{n \in \mathbb{Z}} \alpha_n |Z_n|^2 = 1 \right\}.$$

Let QP_α^∞ be the quotient of S_α^∞ by the action of the solenoid, equipped with the quotient diffeology, and pr be the projection,

$$\text{pr} : S_\alpha^\infty \rightarrow QP_\alpha^\infty \quad \text{and} \quad QP_\alpha^\infty = S_\alpha^\infty / \underline{\mathbf{R}}.$$

We call the quotient space an: **Infinite Quasiprojective Space**, since it is a generalization of Prato's quasisphere [EP01].

The Orbits of the Solenoid

The **orbit** of $Z = (Z_n)_{n \in \mathbf{N}} \in S_\alpha^\infty$ by the solenoid S_α :

1. If there exist $Z_n \neq 0$ and $Z_m \neq 0$, then the stabilizer of Z is $\{0\}$ and the orbit is equivalent to the line \mathbf{R} . These are the **principal orbits**.
2. The **singular orbits**, aka non principal orbits, are the subspaces

$$S_n^1 = \{Z \in S_\alpha^\infty \mid Z_m = 0 \text{ if } m \neq n\}, \quad \text{with } n \in \mathbf{Z}.$$

Each singular orbit, equipped with the subset diffeology, is equivalent to the circle S^1 .

The Principal and Singular Loci

The **singular locus** of the action of the solenoid is

$$\text{Sing} = \bigcup_{n \in \mathbf{Z}} S_n^1 \subset S_\alpha^\infty.$$

Equipped with the subset diffeology, it is the diffeological sum

$$\text{Sing} = \coprod_{n \in \mathbf{Z}} S_n^1, \quad \text{and} \quad \dim(\mathcal{S}) = 1.$$

It is a closed subset for the D-topology.

The regular or **principal locus**, that is,

$$\text{Reg} = S_\alpha^\infty - \bigcup_{n \in \mathbf{Z}} S_n^1,$$

is an open dense subset for the D-topology.

Symplectic Reduction

THEOREM. There exists a closed 2-form ω on $\mathbb{Q}P_\alpha^\infty$ such that:

$$\text{pr}^*(\omega) = \omega \upharpoonright S_\alpha^\infty.$$

NOTE 1. Because of the singular orbits, the quasi projective space is not transitive under the pseudogroup of automorphisms, and therefore ω is not symplectic. I didn't check if the universal moment map is injective, it would not be surprising. But this is could be the subject of a separate work.

NOTE 2. Considering the mechanism of the proof, it is clear that this situation is a special case of a more general theorem on reduction by \mathbf{R} or S^1 actions.

PROOF We shall apply the general criterion for a differential form to be a pullback by a subduction. Let $P : U \rightarrow S_\alpha^\infty$ and $P' : U \rightarrow S_\alpha^\infty$ be two plots

$$\begin{array}{ccc}
 U & \begin{array}{c} \xrightarrow{P} \\ \xrightarrow{P'} \end{array} & S_\alpha^\infty \\
 & & \downarrow \text{pr} \\
 & & QP_\alpha^\infty
 \end{array}
 \quad \text{such that} \quad \text{pr} \circ P = \text{pr} \circ P'.$$

We want to check if, in these conditions, $\omega(P) = \omega(P')$. That would insure the existence of ω , a (necessarily closed) 2-form

on $\mathbb{Q}P_\alpha^\infty$ such that $\omega = \text{pr}^*(\bar{\omega})$. We consider first of all what happens on the open subset

$$U_0 = P^{-1}(S_\alpha^\infty - \text{Sing}).$$

Since $\text{pr} \circ P = \text{pr} \circ P'$, $P^{-1}(S_\alpha^\infty - \text{Sing}) = P'^{-1}(S_\alpha^\infty - \text{Sing}) = U_0$. Now, the restrictions of P and P' on U_0 take their values in the subset of S_α^∞ made of principal orbits of \mathbf{R} , for which the stabilizer of the action of \mathbf{R} is $\{0\}$. Thus, for each $r \in U_0$ there is a unique $\tau(r) \in \mathbf{R}$ such that, for all n , $Z'_n(r) = e^{2i\pi\alpha_n\tau(r)}Z_n(r)$. The function τ is smooth. Indeed, for all $r_0 \in U_0$, there exists $n \in \mathbf{Z}$ such that $Z_n(r_0) \neq 0$. Then there exists a neighborhood of r_0 where $Z_n(r) \neq 0$. On this neighborhood $Z'_n(r) \neq 0$, and

$e^{2i\pi\alpha_n\tau(r)} = Z'_n(r)/Z_n(r)$. But $r \mapsto Z'_n(r)$ and $r \mapsto Z_n(r)$ are smooth, thus $r \mapsto e^{2i\pi\alpha_n\tau(r)}$ is smooth, and therefore so is τ .

Now, $\omega = d\varepsilon$, and

$$\begin{aligned} \varepsilon(P')_r(\delta r) &= \frac{1}{2i\pi} \sum_{n \in \mathbb{Z}} \overline{Z'_n(r)} \frac{\partial Z'_n(r)}{\partial r} (\delta r) \\ &= \frac{1}{2i\pi} \sum_{n \in \mathbb{Z}} \overline{Z_n(r)} \frac{\partial Z_n(r)}{\partial r} (\delta r) \\ &\quad + \left(\sum_{n \in \mathbb{Z}} \alpha_n \overline{Z_n(r)} Z_n(r) \right) \frac{\partial \tau(r)}{\partial r} (\delta r) \\ &= \varepsilon(P)_r(\delta r) + \tau^*(dt)_r(\delta r). \end{aligned}$$

Therefore, $[\omega(P') - \omega(P)] \upharpoonright U_0 = 0$. Thus, by continuity, $[\omega(P') - \omega(P)] \upharpoonright \bar{U}_0 = 0$, where \bar{U}_0 is the closure of U_0 . It remains to check what happens on the complementary $V = U - \bar{U}_0$. The subset V is open, thus $P \upharpoonright V$ and $P' \upharpoonright V$ are two plots of S_α^∞ but with values in the subset of singular orbits Sing . Since Sing has dimension 1 and ω is a 2-form, $\omega(P \upharpoonright V) = \omega(P' \upharpoonright V) = 0$. This is a general result also in diffeology. In conclusion $\omega(P') = \omega(P)$ everywhere on U . That proves that there exists a 2-form ϖ on $QP_\alpha^\infty = S_\alpha^\infty/\mathbf{R}$ such that $\text{pr}^*(\varpi) = \omega$. □

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