

# DIFFERENTIAL FORMS ON CORNERS

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ref. <http://math.huji.ac.il/~piz/documents/DBlog-Rmk-DFOC.pdf>

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We show that, for the subset diffeology, differential forms defined on half-spaces or corners of Euclidean spaces, are the restrictions of a differential forms defined on an open neighborhood of the corner in the ambient Euclidean space.

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Usually, smooth maps from corners  $K^n = \{(x_1, \dots, x_n) \mid x_i \geq 0\}$  into the real line  $\mathbb{R}$  are just defined as restrictions of smooth maps, defined on some open neighborhood of the corner [Cer61] [Dou62] etc. This heuristic becomes a theorem in diffeology where  $K^n$  is equipped with the subset diffeology. Indeed every map from  $K^n$  to  $\mathbb{R}$  such that composed with a smooth parametrisation<sup>1</sup>  $P: U \rightarrow \mathbb{R}^n$ , taking its values in  $K^n$ , is smooth, is the restriction of a smooth maps defined on some open neighborhood of the corner [PIZ13, §4.16].

It is always a progress when a convention, based on mathematicians' intuition, becomes a theorem in a well defined axiomatic. Here the axiomatic is the theory of Diffeology. Noticing that  $C^\infty(K^n, \mathbb{R})$  is just the space of differential 0-forms  $\Omega^0(K^n)$ , it is legitimate to ask about the behavior of differential  $k$ -forms on  $K^n$ , that is,  $\Omega^k(K^n)$  a it is defined in [PIZ13, §6.28]. In this paper we prove the following theorem (art. 4):

**Theorem.** Every differential form on the corner  $K^n$  is the restriction of a smooth form on an open neighborhood of  $K^n$  in  $\mathbb{R}^n$ . Precisely, the pullback  $j^*: \Omega^k(\mathbb{R}^n) \rightarrow \Omega^k(K^n)$  is surjective, where  $j$  denotes the inclusion from  $K^n$  into  $\mathbb{R}^n$ .

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<sup>1</sup>A parametrisation is just a map defined on an open subset of an Euclidean space.

Let us just remind that a differential  $k$ -form on a diffeological space  $X$  is a mapping  $\alpha$  that associates with each plot  $P$  in  $X$ , a smooth  $k$ -form  $\alpha(P)$  on  $\text{dom}(P)$ , such that the smooth compatibility condition  $\alpha(F \circ P) = F^*(\alpha(P))$  is satisfied, where  $F$  is any smooth parametrisation in  $\text{dom}(P)$ .

### Smooth Structure on Corners

1. **Corners as Diffeologies.** — We denote by  $K^n$  the corner

$$K^n = \{(x_i)_{i=1}^n \in \mathbb{R}^n \mid x_i \geq 0, i = 1, \dots, n\}.$$

And we equip it with the subset diffeology. A plot in  $K^n$  is just a regular smooth parametrization in  $\mathbb{R}^n$  but taking its values in  $K^n$ .

(A) The corner  $K^n$  is the diffeological  $n$ -power of the half-line  $K = [0, \infty[ \subset \mathbb{R}$ , equipped with the subset diffeology.

(B) The corner  $K^n$  is embedded in  $\mathbb{R}^n$ , and closed. That is, the D-topology of the induction  $K^n \subset \mathbb{R}^n$  coincides with the induced topology<sup>2</sup> of  $\mathbb{R}^n$ , see [PIZ13, §2.13].

(C) Let  $X_0 = \{0\} \subset X_1 \subset \dots \subset X_n = K^n$  be the natural filtration of  $K^n$ , where the levels  $X_j$  are defined by

$$X_j = \{(x_i)_{i=1}^n \in K^n \mid \text{there exist } i_1 < \dots < i_{n-j} \text{ such that } x_{i_\ell} = 0\}.$$

Then, the stratum

$$S_j = X_j - X_{j-1}$$

is the subset of points in  $\mathbb{R}^n$  that have  $j$ , and only  $j$ , coordinates strictly positive. The strata  $S_j$  are equipped with the subset diffeology<sup>3</sup>.

$$S_j = \left\{ (x_i)_{i=1}^n \in \mathbb{R}^n \mid \begin{array}{l} \text{There exist } i_1 < \dots < i_j \text{ such that } x_{i_\ell} > 0, \\ \text{and } x_m = 0 \text{ for all } m \notin \{i_1, \dots, i_j\}. \end{array} \right\}.$$

Then,  $S_j$  is D-open in  $X_j$ ,  $j \geq 1$ . As a subset of  $X_j$ ,  $S_j$  is the (diffeological) sum of  $\binom{n}{j}$  connected components indexed by a string of  $j$  ones and  $n-j$  zeros.

*Proof.* For the first item, it's immediately by definition. Considering the second item: for any subset  $U \subset K^n$  open for the induced topology, there exists (by definition) an open subset  $\mathcal{O} \in \mathbb{R}^n$  such that  $U = \mathcal{O} \cap K^n$ . Then, for all plots  $P$  in  $K^n$ ,  $P^{-1}(U) = P^{-1}(\mathcal{O})$  is open, because plots are continuous. On the other hand, let  $U \subset K^n$  be D-open. Then,  $\text{sq}^{-1}(U) \subset \mathbb{R}^n$  is open, where  $\text{sq} : \mathbb{R}^n \rightarrow K^n$  is the map  $\text{sq}(x_i)_{i=1}^n = (x_i^2)_{i=1}^n$ . And

<sup>2</sup>The standard topology of  $\mathbb{R}^n$  is the D-topology of its standard smooth structure.

<sup>3</sup>Recall that, by transitivity of subset diffeology, to be a subspace of  $S_\ell$  or  $K^n$  or of  $\mathbb{R}^n$  is identical.

$\text{sq}^{-1}(U) \upharpoonright K^n$  is open for the induced topology of  $\mathbb{R}^n$ . Now, the map  $\text{sq}$  restricted to  $K^n$  is an homeomorphism. Hence, since  $U = \text{sq}(\text{sq}^{-1}(U) \upharpoonright K^n)$ ,  $U$  is open for the induced topology of  $\mathbb{R}^n$ . Therefore the D-topology of the induction coincides with the induced topology, as we claimed.

For the third item: let  $x \in X_j$ , then the number  $\nu$  of coordinates of  $x$  that are 0 is at least  $n-j$ , i.e.  $\nu \geq n-1$ . Next, if  $x \in X_j$  and  $x \notin X_{j-1}$ , then  $\nu \geq n-j$  and  $\nu < n-j+1$ , thus,  $\nu = n-j$ . Therefore,  $X_j - X_{j-1}$  is the subset of points in  $\mathbb{R}^n$  that have exactly  $n-j$  coordinates equal to 0 and the other  $j$  strictly positive:

Consider now a point  $x = (x_1, \dots, x_n) \in S_j - S_{j-1}$ . Since the  $j$  non-zero coordinates of  $x$  are strictly positive, there exists  $\varepsilon > 0$  such that  $x_i - \varepsilon > 0$ , for all non-zero coordinate of  $x$ . The open  $n$ -parallelepiped  $C_x = ]x_1 - \varepsilon, x_1 + \varepsilon[ \times \dots \times ]x_n - \varepsilon, x_n + \varepsilon[ \subset \mathbb{R}^n$  contains  $x$ , and  $C_x \cap S_j \subset S_j - S_{j-1}$ . Thus,

$$S_j - S_{j-1} = \bigcup_{x \in S_j - S_{j-1}} C_x \cap S_j.$$

Now, let  $P : U \rightarrow S_j$  be a plot for the subset diffeology. Then,  $P^{-1}(S_j - S_{j-1}) = \bigcup_{x \in S_j - S_{j-1}} P^{-1}(C_x \cap S_j)$ , but  $P^{-1}(C_x \cap S_j) = P^{-1}(C_x)$  since  $\text{val}(P) \subset S_j$ . Next, since  $P$  is smooth as a map into  $\mathbb{R}^n$  and  $C_x$  is open,  $P^{-1}(C_x)$  is open and then  $P^{-1}(S_j - S_{j-1})$  is open. Therefore,  $S_j - S_{j-1}$  is D-open in  $S_j$ .  $\square$

**2. Smooth Maps on Corners.** — It has been proved that a map  $f : K^n \rightarrow \mathbb{R}$ , is smooth in the sense of diffeology, if and only if it is the restriction of a smooth map  $F$  defined on some open neighborhood  $\mathcal{O}$  of  $K^n$  into  $\mathbb{R}$  [PIZ13, §4.16]. That is,  $f \in C^\infty(K^n, \mathbb{R})$  if and only if,  $f = F \upharpoonright K^n$  and  $F \in C^\infty(\mathcal{O}, \mathbb{R})$ .

**3. The Square Function Lemma.** — Let  $\text{sq} : \mathbb{R}^n \rightarrow K^n$  be the smooth parametrisation:

$$\text{sq}(x_1, \dots, x_n) = (x_1^2, \dots, x_n^2).$$

Then  $\text{sq}^* : \Omega^k(K^n) \rightarrow \Omega^k(\mathbb{R}^n)$  is injective. That is, for all  $\alpha \in \Omega^k(K^n)$ , if  $\text{sq}^*(\alpha) = 0$ , then  $\alpha = 0$ .

*Proof.* Note that each component of  $S_j - S_{j-1}$  is isomorphic to  $\mathbb{R}^j$ . Hence, if  $\text{sq}^*(\alpha) = 0$ , since  $\text{sq} \upharpoonright \text{sq}^{-1}(S_j - S_{j-1})$  is a 2-folds covering over  $S_j - S_{j-1}$ ,  $\alpha \upharpoonright S_j - S_{j-1} = 0$ . that is, for all plot  $Q$  in  $S_j - S_{j-1}$ ,  $\alpha(Q) = 0$ . Let then, for some  $j \geq 1$ ,  $P_j : U_j \rightarrow S_j$  be a plot. In view of what precedes, the subset  $\mathcal{O}_j = P_j^{-1}(S_j - S_{j-1})$  is open, and  $\alpha(P_j \upharpoonright \mathcal{O}_j) = \alpha(P_j) \upharpoonright \mathcal{O}_j = 0$ . By continuity,  $\alpha(P_j) \upharpoonright \overline{\mathcal{O}_j} = 0$ , where  $\overline{\mathcal{O}_j}$  is the closure of  $\mathcal{O}_j$ . Let then  $U_{j-1} = U_j - \overline{\mathcal{O}_j}$  and  $P_{j-1} = P_j \upharpoonright U_{j-1}$ . Then,  $U_{j-1}$  is open and  $P_{j-1} : U_{j-1} \rightarrow S_{j-1}$  is a plot. This construction gives a descending recursion, starting with

any plot  $P: U \rightarrow K^n$ , by initializing  $P_n = P$ ,  $U_n = U$  and  $S_n = K^n$ . One has  $P_j = P \upharpoonright U_j$ ,  $U_{j-1} \subset U_j$ , the recursion ends with a plot  $P_0$  with values in  $S_0 = \{0\}$ , and  $\alpha(P_0) = 0$  since  $P_0$  is constant. Therefore  $\alpha = 0$ .  $\square$

**4. Differential Forms On Corners.** — The previous article (art. 2) deals with smooth real functions on corners, that is,  $\Omega^0(K^n)$ . It is a particular case of the more general theorem:

*Theorem.* Any differential  $k$ -form on the corner  $K^n$ , equipped with the subset diffeology of  $\mathbb{R}^n$ , is the restriction of a smooth differential  $k$ -form defined on some open neighborhood of the corner. Precisely, the pullback  $: j^*: \Omega^k(\mathbb{R}^n) \rightarrow \Omega^k(K^n)$  is surjective, where  $j$  denotes the inclusion from  $K^n$  to  $\mathbb{R}^n$ .

*Proof.* Let  $\omega \in \Omega^k(K^n)$  and  $\overset{\circ}{K}^n = \{(x_i)_{i=1}^n \mid x_i > 0, i = 1, \dots, n\}$ . One has

$$\omega \upharpoonright \overset{\circ}{K}^n = \sum_{i_1 < \dots < i_k} a_{i_1 \dots i_k}(x_1, \dots, x_n) dx_{i_1} \wedge \dots \wedge dx_{i_k},$$

with  $i_j = 1, \dots, n$  and  $a_{i_1 \dots i_k} \in C^\infty(\overset{\circ}{K}^n, \mathbb{R})$ . Recall that  $\text{sq}: (x_i)_{i=1}^n \mapsto (x_i^2)_{i=1}^n$ , then

$$\text{sq}^*(\omega) = \sum_{i_1 < \dots < i_k} A_{i_1 \dots i_k}(x_1, \dots, x_n) dx_{i_1} \wedge \dots \wedge dx_{i_k},$$

where  $A_{i_1 \dots i_k} \in C^\infty(\mathbb{R}^n, \mathbb{R})$ . Let  $\varepsilon_j: (x_1, \dots, x_j, \dots, x_n) \mapsto (x_1, \dots, -x_j, \dots, x_n)$ , then  $\text{sq} \circ \varepsilon_j = \text{sq}$  and  $(\text{sq} \circ \varepsilon_j)^*(\omega) = \varepsilon_j^*(\text{sq}^*(\omega))$ , that is,  $\text{sq}^*(\omega) = \varepsilon_j^*(\text{sq}^*(\omega))$ . Hence,

$$\begin{aligned} \varepsilon_j^*(\text{sq}^*(\omega)) &= \sum_{\substack{i_1 < \dots < i_k \\ i_\ell \neq j}} A_{i_1 \dots i_k}(x_1, \dots, -x_j, \dots, x_n) dx_{i_1} \wedge \dots \wedge dx_{i_k} \\ &\quad - \sum_{i_1 < \dots < j \leq \dots < i_k} A_{i_1 \dots j \dots i_k}(x_1, \dots, -x_j, \dots, x_n) dx_{i_1} \wedge \dots \wedge dx_j \wedge \dots \wedge dx_{i_k}. \end{aligned}$$

Then,

$$\begin{aligned} A_{\substack{i_1 \dots i_k \\ i_\ell \neq j}}(x_1, \dots, -x_j, \dots, x_n) &= A_{i_1 \dots i_k}(x_1, \dots, x_j, \dots, x_n), \\ A_{i_1 \dots j \dots i_k}(x_1, \dots, -x_j, \dots, x_n) &= -A_{i_1 \dots j \dots i_k}(x_1, \dots, x_j, \dots, x_n). \end{aligned}$$

Hence,

$$A_{i_1 \dots j \dots i_k}(x_1, \dots, x_j = 0, \dots, x_n) = 0.$$

Thus,

$$A_{i_1 \dots j \dots i_k}(x_1, \dots, x_j, \dots, x_n) = 2x_j \underline{A}_{i_1 \dots j \dots i_k}(x_1, \dots, x_j, \dots, x_n),$$

with  $\underline{A}_{i_1 \dots j \dots i_k} \in C^\infty(\mathbb{R}^n, \mathbb{R})$ . Therefore, there are real smooth functions  $\hat{A}_{i_1 \dots i_k}$  defined on  $\mathbb{R}^n$  such that

$$A_{i_1 \dots i_k}(x_1, \dots, x_n) = 2^k x_{i_1} \dots x_{i_k} \hat{A}_{i_1 \dots i_k}(x_1, \dots, x_n).$$

Now,

$$\text{sq}^*(\omega \upharpoonright \overset{\circ}{K}^n) = \text{sq}^*(\omega) \upharpoonright \{x_i \neq 0\}$$

implies

$$\begin{aligned} & \sum_{i_1 < \dots < i_k} 2^k x_{i_1} \dots x_{i_k} a_{i_1 \dots i_k}(x_1^2, \dots, x_n^2) dx_{i_1} \wedge \dots \wedge dx_{i_k} \\ &= \sum_{i_1 < \dots < i_k} 2^k x_{i_1} \dots x_{i_k} \hat{A}_{i_1 \dots i_k}(x_1, \dots, x_n) dx_{i_1} \wedge \dots \wedge dx_{i_k}. \end{aligned}$$

Hence,

$$\hat{A}_{i_1 \dots i_k}(x_1, \dots, x_n) = a_{i_1 \dots i_k}(x_1^2, \dots, x_n^2) \quad \text{for } x_i \neq 0, i = 1, \dots, n.$$

Thus  $(x_1, \dots, x_n) \mapsto \hat{A}_{i_1 \dots i_k}(x_1, \dots, x_n)$ , which belongs to  $C^\infty(\mathbb{R}^n, \mathbb{R})$ , is even in each variable. Therefore, according to Schwartz Theorem [Sch75]<sup>4</sup>, there exist

$$\underline{a}_{i_1 \dots i_k} \in C^\infty(\mathbb{R}^n, \mathbb{R}),$$

such that

$$\hat{A}_{i_1 \dots i_k}(x_1, \dots, x_n) = \underline{a}_{i_1 \dots i_k}(x_1^2, \dots, x_n^2).$$

One deduces:

$$\underline{a}_{i_1 \dots i_k}(x_1, \dots, x_n) = a_{i_1 \dots i_k}(x_1, \dots, x_n), \quad \text{for all } (x_1, \dots, x_n) \in \overset{\circ}{K}^n.$$

Then, defining the  $k$ -form  $\underline{\omega}$  on  $\mathbb{R}^n$  by

$$\underline{\omega} = \sum_{i_1 < \dots < i_k} \underline{a}_{i_1 \dots i_k}(x_1, \dots, x_n) dx_{i_1} \wedge \dots \wedge dx_{i_k},$$

one has already

$$\underline{\omega} \upharpoonright \overset{\circ}{K}^n = \omega \upharpoonright \overset{\circ}{K}^n.$$

Let us show that  $\underline{\omega} \upharpoonright K^n = \omega$ . That is, let us check that for all plot  $P: U \rightarrow \mathbb{R}^n$ ,  $P^*(\underline{\omega}) = \omega(P)$ . Actually, one has

$$\text{sq}^*(\omega) = \text{sq}^*(\underline{\omega} \upharpoonright K^n).$$

Indeed:

$$\begin{aligned} \text{sq}^*(\omega) &= \sum_{i_1 \dots i_k} A_{i_1 \dots i_k}(x_1, \dots, x_n) dx_{i_1} \wedge \dots \wedge dx_{i_k} \\ &= \sum_{i_1 \dots i_k} 2^k x_{i_1} \dots x_{i_k} \hat{A}_{i_1 \dots i_k}(x_1, \dots, x_n) dx_{i_1} \wedge \dots \wedge dx_{i_k} \\ &= \sum_{i_1 \dots i_k} 2^k x_{i_1} \dots x_{i_k} \underline{a}_{i_1 \dots i_k}(x_1^2, \dots, x_n^2) dx_{i_1} \wedge \dots \wedge dx_{i_k}. \end{aligned}$$

And, on the other hand:

$$\text{sq}^*(\underline{\omega} \upharpoonright K^n) = \sum_{i_1 \dots i_k} 2^k x_{i_1} \dots x_{i_k} \underline{a}_{i_1 \dots i_k}(x_1^2, \dots, x_n^2) dx_{i_1} \wedge \dots \wedge dx_{i_k}.$$

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<sup>4</sup>Which is a generalisation of a famous Whitney Theoreme [Whi43]

Thus,  $\text{sq}^*(\omega - \underline{\omega} \upharpoonright K^n) = 0$ . Therefore, according to the previous lemma (art. 3),  $\omega - \underline{\omega} \upharpoonright K^n = 0$ . And indeed,  $\omega$  is the restriction of the smooth  $k$ -form  $\underline{\omega}$  on  $K^n$ .  $\square$

**5. An Exemple Of Application.** — Among the possible applications of the theorems above (art. 3) and (art. 4), there is already one worthy of mention. It is about the description of closed 2-form, invariant with respect to the action of a Lie group. As it has been showed in particular in the classification of  $\text{SO}(3)$ -symplectic manifolds [Igl84, Igl91], any closed 2-form  $\omega$  on a manifold  $M$ , invariant by a compact group<sup>5</sup>  $G$ , is characterized by its moment map  $\mu: M \rightarrow \mathcal{G}^*$  (we assume the action Hamiltonian), and for each moment map, a closed 2-form  $\varepsilon \in Z^2(M/G)$ . Let us be precise: the space of closed 2-forms  $Z^2(M)$  is a vector space, the space of  $G$ -equivariant maps from  $M$  to  $\mathcal{G}^*$  is also a vector space. Then, the map associating its moment map<sup>6</sup>  $\mu$  with each invariant closed 2-form  $\omega$  is linear. What we claim is that the kernel of this map is exactly  $Z^2(M/G)$ , where  $M/G$  is equipped with the quotient diffeology.

Now, if an equivariant map is easy to conceive, it is more problematic for a differential form on the space of orbits, which is generally not a manifold. This is where the above theorem can help, because it happens that  $M/G$  is not far to be a manifold with boundary or corners, as show the following example.

Let us consider the simple case of  $M = \mathbb{R}^{2n}$  equipped with the standard symplectic form  $\omega = \sum_{i=1}^n dq_i \wedge dp_i$ . It is invariant by the group  $\text{SO}(2, \mathbb{R})^n$  acting naturally, each factor on its respective copie of  $\mathbb{R}^2$ . The (diffeological) quotient space  $Q^n = \mathbb{R}^{2n} / \text{SO}(2, \mathbb{R})^n$  is the  $n$ -th power of  $Q = \mathbb{R}^2 / \text{SO}(2, \mathbb{R})$ . Let  $X = (X_i)_{i=1}^n$  with  $X_i = (q_i, p_i)$ . There is a natural smooth bijection  $j_{2n}: Q^n \rightarrow K^n$ , given by  $j_{2n}: \text{class}(X) \mapsto (\|X_i\|)_{i=1}^n$ . It turns out that this smooth bijection<sup>7</sup> induces, by pullback, an injection  $j_{2n}^*$  from  $\Omega^k(K^n)$  into  $\Omega^k(Q^n)$ . Thus, thanks to (art. 4), for each 2-form  $\varepsilon$  on the quotient  $Q_n$  there exists a 2-form  $\underline{\varepsilon}$  on  $\mathbb{R}^n$ , such that  $\varepsilon = j_{2n}^*(\underline{\varepsilon})$ . And the 2-form  $\omega$  is characterized by  $\mu$  and  $\underline{\varepsilon} \upharpoonright K^n$ , with  $\underline{\varepsilon} \in \Omega^k(\mathbb{R}^n)$ .

*Proof.* Let us prove that  $j_{2n}^*$  is injective. Let  $x = (x_i)_{i=1}^n \in \mathbb{R}^n$  and  $\iota_n: x \mapsto (x_i, 0)_{i=1}^n$  from  $\mathbb{R}^n$  into  $\mathbb{R}^{2n}$ . Let  $j_n: \mathbb{R}^n / \{\pm 1\}^n \rightarrow K^n$  be defined by  $j_n: \text{class}(x) \mapsto \text{sq}(x) = (x_i^2)_{i=1}^n$ . Then,  $j_n = j_{2n} \circ \iota_n$ , where  $\iota_n$  is the

<sup>5</sup>There could a diffeological generalisation possible here to non compact group.

<sup>6</sup>The manifold  $M$  is supposed to be connected. To have a unicity of the moment maps we decide to fix their value to 0 at some base point  $m_0 \in M$ , for example.

<sup>7</sup>Which is not a diffeomorphism [PIZ07].

projection of  $\iota$ , from  $\mathbb{R}^n/\{\pm 1\}^n$  to  $\mathcal{Q}^n$ .

$$\begin{array}{ccccc}
 \mathbb{R}^n & \xrightarrow{\quad \iota \quad} & \mathbb{R}^{2n} & & \\
 \text{class} \downarrow & & \downarrow \text{class} & & \\
 \mathbb{R}^n/\{\pm 1\}^n & \xrightarrow{\quad \underline{\iota} \quad} & \mathcal{Q}_n = \mathbb{R}^{2n}/\text{SO}(2, \mathbb{R})^n & \xrightarrow{\quad j_{2n} \quad} & \mathbb{K}^n = [0, \infty[^n
 \end{array}$$

But  $\text{sq} = j_n \circ \text{class}$  and we know that  $\text{sq}^* = \text{class}^* \circ j_n^* : \Omega^k(\mathbb{K}^n) \rightarrow \Omega^k(\mathbb{R}^n)$  is injective (art. 3), thus  $j_n^* : \Omega^k(\mathbb{K}^n) \rightarrow \Omega^k(\mathbb{R}^n/\{\pm 1\})$  is injective. On the other hand,  $j_n = j_{2n} \circ \underline{\iota}$ , then  $j_n^* = \underline{\iota}^* \circ j_{2n}^*$ . Since  $j_n$  is injective,  $j_{2n}^*$  is necessarily injective too.  $\square$

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